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**Export Certificate Report** 

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### **Report Highlights:**

[CORRECTED] This report highlights certification requirements for food and agricultural products exported to Canada and supplements the FAIRS Country Report. Please note: The original version of this 2022 report, published July 13, included incorrect information on Food and Drug Administration (FDA) certificates for export (i.e., Certificates of Exportability). This updated version includes information on the correct FDA certificates for export (i.e., Certificate to a Foreign Government).

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This report was prepared by the Ottawa Office of Agricultural Affairs for U.S. exporters of food and agricultural products. While every possible care has been taken in the preparation of this report, information provided may not be completely accurate either because policies have changed since its preparation, or because clear and consistent information about these policies was not available. It is highly recommended U.S. exporters verify the full set of import requirements with their foreign customers, who are normally best equipped to research such matters with local authorities, before any goods are shipped. FINAL IMPORT APPROVAL OF ANY PRODUCTS IS SUBJECT TO THE IMPORTING COUNTRY'S RULES AND REGULATIONS AS INTERPRETED BY BORDER OFFICIALS AT THE TIME OF PRODUCT ENTRY.

### **Executive Summary**

This report highlights export certificates issued by U.S. government authorities to comply with Canadian import standards and regulations. It is an update to the 2021 Food and Agricultural Import Regulations and Standards (FAIRS) Export Certificate Report. This is not intended as a compendium of Canadian import requirements, but rather as a supplement to the most recent FAIRS Country Report. While every possible care was taken in the preparation of this report, this information is provided only as guidance, because requirements may have changed since this report's preparation, or because clear and consistent information about these requirements was not available.

FAS/Canada strongly recommends U.S. exporters verify the full set of import requirements through the Canadian Food Inspection Agency's <u>Automated Import Reference System (AIRS)</u> that serves as a step-by-step guide on Canadian importing requirements. As a good business practice, U.S. companies should consult with their Canadian customers to ensure products meet Canadian import requirements. U.S. Exporters need to acknowledge final import approval of any product is subject to the importing country's rules and regulations as interpreted by border officials at the time of product entry.

# **Section I. Export Certificate Matrix:**

Product(s)	Title of Certificate	Purpose/ Attestation	Issuing Authority	Authority Requiring Certificate
All U.S Origin Products Eligible for Preferential Treatment Under USMCA	Certification of Origin  [Note: The United States-Mexico-Canada Agreement (USMCA) does not follow a prescribed format.]	To claim preferential tariff treatment	Importer, Exporter, or Producer can make the certification	Canada Border Services Agency (CBSA)
Meat and Poultry	Certificate for Export of Meat and Poultry Products to Canada  Form 9135-3 and 9135-3A	Product Safety and Wholesomeness	Food Safety and Inspection Service (FSIS)	Canadian Food Inspection Agency (CFIA)
Products of	and Applied Nutrition (CFSAN) Certificate to a Foreign Government	[Corrected August 3] Product meets U.S. Food, Drug, and Cosmetic Act Requirements	FDA	CFIA
	Egg Products Export Certificate of Wholesomeness Form 9060-5EP	Wholesomeness	FSIS	CFIA
Egg Products	Letterhead Certificate for Unpasteurized Eggs  Letterhead Certificate for Pasteurized Eggs	Veterinary Health Attestation	FSIS	CFIA

Product(s)	Title of Certificate	Purpose/ Attestation	Issuing Authority	Authority Requiring Certificate
Processed Egg and Egg Products	Processed Egg and Egg Products Export Verification Certificate  LPS-234	Wholesomeness	AMS	CFIA
Bison (Buffalo)	USDA Beef Export Verification (EV) Program Product Conformity Attestation on Shipping Documentation	To attest to eligibility to export bison to Canada	AMS	CFIA
Pet Food	Veterinary Export Certificate for Heat-Processed, Shelf-Stable Pet Foods, Treats & Compound Chews	U.S. origin and veterinary health attestations	Animal and Plant Health Inspection Service (APHIS)	CFIA
Pet Food	Veterinary Sanitary Certificate for Export of Unprocessed (Raw) Pet Foods from an Approved Facility to Canada	U	APHIS	CFIA
Fresh Fruits and Vegetables	Specialty Crops Program (SC) Export Form Certificate Forms SC-205 and SC-205-1	Grading and Quality	AMS	CFIA
Live Animals, Honeybees	Animal Health Export Certificate  VS Form 16-4	Animal Health	APHIS	CFIA

Product(s)	Title of Certificate	Purpose/ Attestation	Issuing Authority	Authority Requiring Certificate
Plant and	Phytosanitary Certificate	Plant Health,	APHIS	CFIA
Plant		Freedom from Pests		
Products	PPQ Form 572			
	PPQ Form 577			
	PPQ Form 578			
	PPQ Form 579			
Fish and	National Oceanic and	Sanitation, Grading,	NOAA,	CFIA, CBSA,
Seafood	Atmospheric Administration	Food Safety,	APHIS, FWS	Environment
	(NOAA) Seafood	Wholesomeness,		and Climate
	Inspection Program	Animal Health,		Change Canada
		Eligibility of Species		(ECCC)
	APHIS Aquatic Animal Health			
	Export Certificate			
	Fish and Wildlife			
	Service (FWS) Export Permit			
	-			

## Section II. Regulations and Types of Export Certificates

## All Products: USMCA Certification of Origin

The United States-Mexico-Canada Agreement (USMCA) is a trilateral agreement among Canada, Mexico, and the United States under which most products enter Canada duty free. To obtain this preferential treatment, a product must be accompanied by a certification of origin made by the importer, exporter, or the producer of the product. U.S. exporters must validate that the food products they are shipping to Canada qualify for duty free treatment. All exporters may review treatment of their products under USMCA by locating the specific rules of origin treatments, which are described by chapter starting on page 18 of Annex 4-B of the USMCA text.

While the certification of origin need not follow a prescribed format, each certification must include nine data elements specified in USMCA Annex 5-A (see page 16 of USMCA Chapter 5). The certification of origin may be completed and submitted electronically and may be signed with an electronic or digital signature. Although, most U.S. products are duty free this should not be confused with custom clearance and the federal goods and services tax (GST) which is 5%. In addition, in certain provinces there may be a provincial sales tax that may be assessed.

For multiple shipments of identical goods, shippers may include a previously signed certification of origin for a "blanket period" of up to 12 months. Additional guidance and information is available on the U.S. Customs and Border Protection website. USMCA-related questions and concerns can be addressed to Customs and Border Protection (USMCA@CBP.DHS.gov).

The U.S. Census Bureau recommends that certifiers identifying more than the first six digits of an HS code on their certification documentation should use the Canadian HS codes, which can be found on this <u>CBSA webpage</u> or CFIA <u>AIRS</u>. While the first six digits of an HS code are the same for Canada, Mexico and the United States, the last four to six digits are unique to each country and will vary slightly from the U.S. codes. Since U.S. export shipments are inspected by the CBSA, it is important for U.S. companies to use Canadian HS codes to minimize delays or misinterpretations at the border.

Here are some tips for U.S. certifiers when generating a USMCA certification of origin:

- *Tip*: The HS tariff schedule is set up where the less processed foods like fresh foods are listed in the earlier chapters and the more processed foods are listed in the later chapters (e.g., vegetables are in chapter 7 and cereal preparations are in chapter 19).
- *Tip*: If required to provide an 8-12 digit classification number, then identify and enter the **Canadian Tariff Classification Number**. **Do not** use the U.S. 8-12 digit classification number.
- *Tip*: U.S. companies exporting goods eligible for preferential tariff treatment to Canada should contact their export sales partners to confirm the certification of origin procedure to be used under USMCA.
- *Tip*: U.S. exporters should review trade partners' certification of origin documents to ensure the data provided meets USMCA requirements.

Assistance is available through local <u>State Departments of Agriculture</u> through agricultural <u>State and Regional Trade Groups</u> (SRTGs). Exporters in the Food Export USA SRTG regions may <u>contact the Food Export helpline</u>.

### A. Meat, Poultry and Processed Egg Products

The USDA's FSIS is responsible for certification of all fresh, frozen, and packaged meat, poultry, and processed egg products. More information on the export requirements for Canada are available <a href="https://example.com/here.">here.</a>

### A.1. Meat and Poultry

The USDA FSIS is responsible for certification of meat, poultry, and processed egg products. Exporters are encouraged to confirm product export requirements on the FSIS Export Library webpage for <u>meat and poultry products</u>, which contains information on eligible and ineligible products for certification, as well as slaughter, processing, labelling, marking, and all other documentation requirements.

FSIS Forms 9135-3 and 9135-3A, Official Meat Inspection Certificate for Export of Meat and Poultry Products to Canada, certify that U.S. meat and poultry exports to Canada meet the eligibility, safety, andwholesomeness requirements laid out in the Safe Food for Canadians Regulations for imports of meat and poultry from the United States. Additional information can be found on the FSIS Procedures, Requirements & Exporter Responsibilities for Canada webpage. (Note: FSIS Forms 9135-3 and 9135-3 must be signed by an official Veterinarian.)

• *Tip:* Your shipment may be detained, returned, or destroyed by CBSA if the shipment export certificate number (on the original export certificate) does not match the number used on the export certificate stickers adhered to individual containers.

### A.2. Certain Products of Meat Origin

On May 14, 2020, CFIA published guidance for broths, flavors and/or extracts manufactured in FDA regulated facilities (instead of USDA facilities). As of October 31, 2020, exporters now require an FDA Certificate to a Foreign Government or a USDA Official Meat Inspection (OMIC) to accompany manufactured products in FDA regulated facilities. Please see FAS/Canada GAIN Report CA2020-0054 for additional information, including a list of product HS codes to which this certificate applies.

### A.3. Meat and Poultry Label Verification

Exporters of meat and poultry products should read the <u>Labeling Requirements</u> section of the Export Library closely. Certain labels applied to U.S. meat and poultry exports must be approved by FSIS. Labels that deviate from U.S. domestic requirements must be submitted, along with supporting documentation verifying Canadian government acceptance of the deviation, to the FSIS Labeling and Program Delivery Staff (LPDS). LPDS recommends U.S. exporters obtain an e-authentication account

and process label approval requests through the online <u>Label Submission and Approval System (LSAS)</u>. However, paper applications for label approval submissions (FSIS Form 7234-1) may be mailed to:

### USDA, FSIS, OPPD, LPDD

Labeling Distribution Unit Stop Code 3786, Patriots Plaza III, 8-168 1400 Independence Ave, SW Washington, DC 20250-3700

For additional information, please contact LPDS at 1-800-233-3935, ext. 2 or visit askFSIS.

### A.4. Eggs and Processed Egg Products

Eggs and egg products are import controlled products and subject to a tariff rate quota (TRQs) system in Canada. U.S. exporters must work with a Canadian importer that has available quota to legally import eggs. Requirements for U.S. egg product exports to Canada may vary from state to state, and U.S. producers are urged to review <a href="the requirements">the requirements</a> before shipping. FSIS inspectors issue an Egg Product Export Certificate of Wholesomeness (<a href="FSIS Form 9060-5EP">FSIS Form 9060-5EP</a>) for each export shipment.

[Corrected August 3] For processed products, the American Marketing Service (AMS) may perform onsite verification and issue export certificates on a fee for service basis. To apply for this Further Processed Egg Program, exporters may complete the <u>application</u> and email to <u>ev.export@usda.gov</u> For smaller amounts of egg products (such as imitation egg products, frozen egg patties, and frozen omelets) manufacturers may voluntarily participate in the <u>Processed Egg and Egg Products Export Verification (PEEPEV) Program</u>. USDA processed egg and egg products export certificate (Form LPS-234) is used to verify that the processed egg products and hard-cooked eggs are wholesome forexport. A list of companies approved to export these products to Canada is available <u>here</u>.

For questions concerning shipments of FDA-regulated products containing eggs that were previously certified by FSIS, contact FSIS at 1-855-444-9904 or by e-mail at <a href="mailto:importexport@fsis.usda.gov">importexport@fsis.usda.gov</a>.

### A.5. Ready-to-Eat Products - EV Program

This program was initially established for closed-faced sandwiches exported to Canada. Canadian requirements dictate that closed-faced sandwiches be produced under a Hazard Analysis and Critical Control Point (HACCP) and *Listeria monocytogenes* control plans. Only those <u>manufacturing</u> <u>establishments</u> participating in this EV program are eligible to export to Canada. For more information on the EV program, please refer to the <u>Microbiological Testing Program for RTE products</u>.

### B. Bison - USDA Export Verification (EV) Program

Meat and meat products derived from bison (or buffalo) are eligible for export to Canada. However, Canada applies the same bovine spongiform encephalopathy (BSE) requirements for these products as applied for beef and beef products. Since U.S. BSE regulations do not apply to bison, meat and meat products derived from bison must be raised and produced under an approved <u>AMS Export Verification</u> (EV) program. Only those suppliers meeting the requirements of the EV program are eligible to export specified products to Canada. Additional information about the <u>EV program</u> and a <u>list of EV approved</u> establishments can be found on the AMS website.

#### C. Pet Foods

# C.1. Veterinary Export Certificate for Processed, Shelf-Stable Pet Foods, Treats, and Compound Chews

As of April 13, 2021, the CFIA no longer issues import permits for Raw/BARF/Minimally Processed Pet Food/Pet Treats from U.S. origin pet food facilities with the exception Thermally Processed Pet Food. Export Certificates for Canada are issued by the Animal and Plant Health Inspection Service (APHIS) Veterinary Services (VS) and specific <u>language</u> is required. For the latest requirements, restrictions and certificates for APHIS-regulated pet foods exported to Canada, please visit <u>APHIS</u> <u>International Regulations (IRegs) site</u> including specific requirements for <u>thermally processed products</u>.

# C.2. Veterinary Sanitary Certificate for Export of Unprocessed (Raw) Pet Foods from an Approved Facility to Canada

CFIA defines biologically appropriate raw food (BARF) diets as "biologically appropriate raw food or bones and raw food diets." A typical BARF diet is made up of 60-80 percent raw meaty bones, that is bones with about 50 percent meat (e.g., chicken necks, backs, and wings) and 20-40 percent fruits and vegetables, offal, meat, eggs, or dairy. CFIA requires all facilities involved in the production of raw, unprocessed BARF pet foods or diets to be inspected and approved by APHIS VS prior to issuance of the APHIS certificate and export to Canada. For more information on how to obtain facility approval, U.S. companies should contact their <u>local VS Service Center</u>.

For more information on the procedures and requirements for exporting animal products, or to obtain a sanitary certificate for an animal product, exporters should contact the <u>VS Field Office</u> covering the area from which the product will be exported, the area in which the exporter office is located, or the area in which the product is manufactured.

### D. Fresh Fruit and Vegetable - Inspection Certificate

All fruits and vegetables imported into Canada must meet the requirements of the <u>Safe Food for Canadians Regulations</u>. Apples, onions and potatoes require an inspection certificate indicating minimum Canadian import requirements for quality, labelling, and packaging are met. However, there

could be phytosanitary/plant health certificate requirements as well, depending on the commodity, state of origin, and provincial destination. More information on the requirements for importing fruits and vegetables is available on this <a href="CFIA website">CFIA website</a> and the <a href="AMS Specialty Crop Export Verification and Certification">AMS Inspection Division field office</a> for moreinformation or to request an export certificate.

The importation of leafy green vegetables from the United States are limited to those growers and suppliers that have met the Leafy Green Good Agricultural Practices (GAP) food safety guidelines and are a signatory to the California Leafy Green Products Handler Marketing Agreement. Import requirements for leafy greens from Arizona and California are found on <a href="this CFIA webpage">this CFIA webpage</a>.

Information on exemptions from U.S. inspection and certification under the <u>Export Apple Act\Grape</u> and <u>Plum Act</u> for shipments to Canada.

**E.** Live Animals and Live Reproductive Organisms - Animal Health Export Certificate APHIS Veterinary Services (VS) issues health certificates certifying the herd and animal health status for live animals and live reproductive organisms exported from the United States. Depending on the type of animal, additional tests and vaccinations may be required.

Visit the <u>APHIS IRegs website</u> for the most up-to-date information on export requirements and restrictions related to live animal exports to Canada.

### Travelling with and Shipment of Pets to Canada

Although the scope of this report is largely focused on commercial shipments, FAS/Canada periodically receives calls from U.S. visitors traveling with or shipping their pets to Canada. While, certification is not required for cats or dogs, CBSA may request vaccination records. In addition, inspection fees and quarantine may be required for certain pets. Visitors are encouraged to work closely with their veterinarian to ensure necessary documents are prepared before traveling. The latest information related to pets entering Canada is on the CFIA webpage.

### F. Plant and Plant Products Phytosanitary Certificate

APHIS Plant Protection and Quarantine (PPQ) is responsible for issuing the phytosanitary certificate attesting that plants or plant products are free from quarantine pests, practically free from other injurious pests, and conform to the current phytosanitary regulations of Canada. For more information, please visit the <u>APHIS PPQ Plant Health Export Information</u>.

### Section III. Specific Declarations and Attestations Required on Export Certificates

[Corrected August 3] In some cases, there may be a need to include specific declarations and attestations. For the latest, please check with the respective authorizing government office. See below for the nearest contact.

Name of Certificate	Issuing Authority	U.S. Contact
Certificate for Export	FSIS	Export Guidance
of Meat and Poultry		Food Safety and Inspection Service District Offices
Products to Canada		
		Email: MPHotline.fsis@usda.gov
		Phone: 1-888-674-6854
Export Certificate -	AMS	Export Verification Program
Bison EV Program		
		AMS Quality Assurance Division
		E-mail: QAD.BusinessOps@ams.usda.gov
		Phone: 501-312-2962
Export Certificate	AMS	AMS Microbiological Testing Program
<ul><li>Ready-To-Eat</li></ul>		
(RTE)		National Science LaboratoriesE-
		mail: NationalScienceLaboratories@ams.usda.gov
		Phone: 704-867-3873
Export Certificate -	AMS	To qualify, exporting processing plants are expected to
Table Eggs Program &		have passed specific laboratory tests.
		List of Plants Approved to Export Table Eggs to
		<u>Canada</u>
		Phone:770-519-9572
Export Certificate -	AMS	Further Processed Egg Products Verification
PEEPEV Program		ProgramVerification (PEEPEV) Program
		Quality Assessment Division, Auditing
		EV.Export@usda.gov
		Phone: 501-766-4782
National Organic	AMS	National Organic Program
Program		
		NOP.Guidance@usda.gov
		Phone: 202-720-3252

Name of Certificate	Issuing Authority	U.S. Contact
Export Certificate - Fresh Fruit and Vegetable Inspection Certificate	AMS	Specialty Crops Inspection Contacts
Animal Health Export Certificate VS Form 16-4	APHIS	Veterinary Services Service Center Directory Animal Exports Call Center: 301-851-3300 Animals Products: asknies.products@usda.govLive Animals: VS-Live.Animals_Import.Permits@aphis.usda.gov
Phytosanitary Certificate for Plants and Plant Products	APHIS	Plant Export Information  Email: PPQExportServices@usda.govPhone: 301-851-2309
National Oceanic and Atmospheric Administration (NOAA) Inspection Certificate	NOAA	NOAA Regional Offices Directory
U.S. Food and Drug Administration (FDA) Certificate to a Foreign Government [Corrected August 3]	FDA	FDA Export Certifiation Contact List  Email: CFSANExportCertification@fda.hhs.gov Phone: 240-402-2307

### Section IV. Government Certificate Legal Entry Requirements

### A. All Products: USMCA Certification of Origin

The USMCA Certification of Origin is required to be submitted to CBSA at the time of import notification (at least 1 hour (highway carriers), 2 hours (rail carriers), 4 hours (air carriers), or 24 hours

(Marine carriers) in advance). The USMCA certification of origin can be applied to multiple shipments of identical products for a period of up to 12 months. The certification of origin can be supplied by an importer, exporter, or producer. Shipments with an estimated duty value of \$3,300 CAD or less do not require aUSMCA certification of origin.

### B. Meat, Poultry and Processed Egg Products

See Section II B.

### C. Bison – USDA Export Verification (EV) Program

See Section II C. Shipping documentation (bills of lading, shipping manifests, or letters of guarantee) must contain the following information:

- Clearly identified product type and quantity
- One of the following applicable statements:
  - o "Product Meets EV Program Requirements for Canada and for the EU" for finished items eligible for export.
  - "Product Meets EV Program Requirements for Canada and for the EU excluding final fabrication requirements" for products that must be further fabricated to meet all requirements prior to being eligible to export.

#### D. Pet Foods

# **D.1.** Veterinary Export Certificate for Heat-Processed, Shelf-Stable Pet Foods, Treats, and Compound Chews

As the APHIS Veterinary Certificate for Heat-Processed, Shelf-Stable Pet Foods, Treats, and Compound Chews can cover multiple shipments within a 30-day window, original copies of the export certificate are not required upon entry. Every shipment should be accompanied by a copy of the endorsed export certificate. Each shipment imported into Canada with a Multiple Shipment Export Certificate must be accompanied by an <a href="Importer's Statement of Compliance">Importer's Statement of Compliance</a>, containing the shipment specific information. Import conditions and the information required on the Importer's Statement of Compliance can be found in the CFIA's <a href="Import Policy for Pet food and Treats Containing Animal Products and By-Products">Import Policy for Pet food and Treats Containing Animal Products and By-Products</a>.

# D.2. Veterinary Sanitary Certificate for Export of Unprocessed (Raw) Pet Foods from an Approved Facility to Canada

For APHIS Veterinary Services to certify U.S.-origin pet foods using the Veterinary Sanitary Certificate for Export of Unprocessed (Raw) Pet Foods from an Approved Facility to Canada:

- The exporter must present a valid, current <u>import permit</u> issued by CFIA allowing entry of the products to be exported;
- If the exporter is not the approved manufacturing facility, the exporter must provide documentation to show that the pet foods for export were manufactured in facilities approved by APHIS/VS; and

• The VS Area Office must verify that the manufacturing facility (ies) has/have been approved by VS and are listed in the APHIS internal database as being approved to export the pertinent product to Canada with a last validated inspection date in the previous 365 days.

### E. Fresh Fruit and Vegetable - Inspection Certificate

Specific requirements for importation of U.S. potatoes, apples and onions are explained on <a href="mailto:this CFIA">this CFIA</a>
<a href="mailto:website">website</a>. Generally, these products must be accompanied by an AMS-issued serially numbered certificate including the language "Meets Canadian Import Requirements for Grades, Packaging, Labelling and Standard Container Size." Shipments must be accompanied at the Canadian port of entry by an original serially numbered certificate or evidence in the form of a facsimile or a copy of an email message issued by AMS establishing that Canadian requirements for grades, packaging, and labelling are met.

### F. Live Animals and Live Reproductive Organisms - Animal Health Export Certificate

For all commodities other than Aquaculture / Aquatic Animals to Canada, USDA Accredited Veterinarians may issue health certificates electronically through the online Veterinary Export Health Certification System (VEHCS). APHIS-endorsed, printed paper copies of appropriate export certificates and required additional forms must accompany the shipment at port of entry. Certain animals may only pass-through certain ports of entry. For animal-specific requirements, exporters are encouraged to consult the APHIS IRegs website.

### G. Plant and Plant Products Phytosanitary Certificate

Authorized certifying officials at the county, state or federal level may sign required phytosanitary certificates to accompany shipments of U.S. plants and plant materials to Canada. Exporters should consult the APHIS <a href="Phytosanitary Export Database">Phytosanitary Export Database</a> (PExD) and CFIA <a href="AIRS">AIRS</a> system to confirm the latest requirements for products to be exported.

### Section V. Other Export Certification/Accreditation Requirements

### A. NOAA Inspection Certificate

The National Oceanic and Atmospheric Administration (NOAA) <u>Seafood Inspection Program</u> offers a variety of professional inspection services that ensure compliance with all applicable food regulations. In addition, product quality evaluation, grading and <u>certification services</u> on a product lot basis are provided. Benefits of obtaining a certificate include the ability to apply official marks, such as the U.S. Grade A, Processed Under Federal Inspection (PUFI) and Lot Inspection. Additional information on certification requirements for Canada is on <u>this NOAA webpage</u>.

### B. Products Regulated by the Food and Drug Administration

[Corrected August 3] The U.S. Food and Drug Administration issues a "Certificate to a Foreign Government" for some products destined for Canada, including certain products of meat origin that meet the applicable requirements of the FD&C Act for marketing in the United States. This certificate states, among other things, that a product (or products) may be marketed in and legally exported from the United States. FDA export certificates for food are issued at the request of a U.S. company by the FDA Center for Food Safety and Applied Nutrition (CFSAN).

For more information, contact <u>export certification offices within CFSAN</u> and visit the <u>FDA Food</u> Export Certificates webpage.

### C. Organic





On June 17, 2009, the United States and Canada <u>jointly announced</u> an organic equivalence arrangement, the first one of its kind. The equivalence arrangement followed a review by both nations of the other's organic certification program and a determination that products with the U.S. organic logo meeting the standard in the United States can be sold as and recognized organic in Canada, and vice versa.

Producers and processors that are certified to the <u>USDA National Organic Program</u> (NOP) standards by a USDA-accredited certifying agent do not have to become separately certified to the <u>Canadian Organic Standards</u> (COS) in order for their products to be represented as organic in Canada. Likewise, Canadian organic products certified to COS may be sold or labeled in the United States as organically produced. Both the USDA Organic seal and the Canada Organic/Biologique logo can be used on certified products from both countries. More information on the <u>equivalence arrangement</u> can be found on the <u>CFIA Organic Products webpage</u>.

U.S. firms are reminded that the import and sale of organic food products in Canada are governed by the same rules and regulations that apply to non-organic food products. No distinction is made

between organic and non-organic food with regard to import requirements. Currently, all Canadian packaging and labeling, grade, and inspection regulations apply equally to organic and non-organic foods. Organic claims are required to be printed in English and French.

### Section V1. Business Registrations and Food Licenses on Imported Food

Those U.S. Firms shipping directly into Canada will need to register for an import license under the *Safe Food for Canadians Regulations* and exporters with no facilities in Canada. They will be considered as Non-Resident Importers (NRI). The license permits CFIA to identify both Canadian and non-Canadian NRI's their place of business should there be complaints or a recall. Before applying for the import license, exporters are directed to Revenue Canada to obtain a 9-digit <u>Business Number (BN)</u>. This will take between two to six weeks. With the Canadian business number, exporters can then apply on-line for the <u>import license through CFIA</u>.

# **Appendix I. Electronic Copy of Selected Export Certificates Example, USMCA Certification of Origin**

		UNITED S	TATES MEXICO CERTIFIC	CANADA A ATION OF O	702	USMCA)		
1. CERTIFIE	R TYPE (INDICATE "X")					BLANKET PERIOD	FROM:	
	IMPORTER		EXPORTER		PRODUCER	(MM/DD/YYYY)	то:	
2. CERTIFIEI NAME ADDRESS	R NAME, ADDRESS, PHO	NE, AND EMAI	ı'	3. EXPORTER NAME ADDRESS	NAME, ADDRESS, I	PHONE, AND EMAIL	-	
COUNTRY EMAIL TAX IDENTIF	ICATION NUMBER	PHONE		COUNTRY EMAIL TAX IDENTIFIC	ATION NUMBER	PHONE		
4. PRODUCI NAME	ER NAME, ADDRESS, PHO	ONE, AND EMA	AIL	5. IMPORTER NAME	NAME, ADDRESS,	PHONE, AND EMAIL		
ADDRESS COUNTRY		PHONE		ADDRESS COUNTRY PHONE				
EMAIL	274515000000000			EMAIL	Markit Markita (1)			
TAX IDENTIF	6.	0030	7.	8.	ATION NUMBER 9.	10.	00/20	11.
	DESCRIPTION OF GOOD	D(S)	HTS	ORIGIN CRITERION	PRODUCER (YES/NO)	METHOD OF QUALIFICATION	1/798	ORIGIN
AND ACCUR	HAT THE GOODS DESCRI RATE. I ASSUME RESPON DURING A VERIFICATION	SIBILITY FOR P	ROVING SUCH REPRE	SENTATIONS AND	AGREE TO MAINTA	AIN AND PRESENT UPO		
THIS CERTIF	ICATE CONSISTS OF		PAGES, INCLUDING	ALL ATTACHMEN	rts.			
	12a. AUTHORIZED SIG	NATURE			12b. COMPANY			
12.	12c. NAME		Wi		12d. TITLE			
	12e. DATE (MM/DD/)	000	12f. TELEPHONE N	UMBER	12g. EMAIL			
							USMCA (	CERTIFICATE V3

# Example: FSIS Form 9135-3, Certificate

CONSIGNOR			CERTIFICATE NO.	
			US-CA-2017-	
			U.S. Department of Ag Food Safety and Inspect	griculture tion Service
CONSIGNEE/IMPORTER				
			CERTIFICATE FOR EXPO	2010/2012/2019
ORIGIN COUNTRY	PORT OF L	OADING	EXPORT ESTABLISHMENT: (Establishme	ant Number)
U.S.A.				
DESTINATION				
	CANADA			
MEANS OF TRANSPORT				
SHIPPING MARKS	NUMBER/ KIND OF PACKAGES	EST. / PLANT NUMBER ON PRODUCT	PRODUCT DESCRIPTION SPECIES LABEL APPROVAL NUMBER	NET WEIGHT (Check one and enter net weight)
USDA SEAL NUMBER (S) (If A	pplicable)			
REMARKS				
and postmortem insp provided by law and	ection and were regulations of the	found sound and he Department and i	hereon is from animals that received be ealthy and that it has been inspected as a sound and wholesome, and / or	nd passed as
antemortem and pos	tmortem inspecti	on and passed in a	d above came from birds that were offic coordance with applicable laws and re- ome and fit for human consumption.	ially given an gulations of the
BY ORDER OF THE SECRET/ AGRICULTURE	150	NAME OF OFFICIAL (		DATE SIGNED

S

**Example: Pet Food and Treats Certificate** (1 of 3)

	Health Certificate Number:
	Page of
CONTAINING ANIMAL PRODUCTS AND I	OR PROCESSED <sup>1</sup> PET FOOD/CHEWS/TREA' BY-PRODUCTS EXPORTED FROM THE UNIT IERICA TO CANADA
Consignor (Name and Address):	Consignee (Name and Address):
Processing Plant (Name and Address) and USDA- APHIS approval number if applicable <sup>2</sup> :	Description of product(s):  Pet foods and/or pet treats with no bovine-origin ingredients except exempt ingredients (e.g., milk or mill products, hide-derived gelatin or collagen, and tallow with a maximum level of insoluble impurities of 0.15% by weight) Pet foods and/or pet treats with non-exempt bovine-origin ingredients.
Intended end use :	RESALE
Port of Entry:	Any Canadian Port of entry
Date of Endorsement: mm/dd/yyyy	Date of Certificate Expiration <sup>3</sup> : mm/dd/yyyy
Means of transportation:	□ Truck □ Rail

# **Example: Pet Food and Treats Certificate** (2 of 3)

	Health Certificate Number:	
	Page of	
I th	he undersigned Official Veterinarian of the Animal and Plant Health Inspection Service (APHIS), certify that this o	ffice
has	s on file a valid APHIS approval(s) of the manufacturing facility(ies) to verify the accuracy of the statements below:	
1.	The certified pet food and/or pet treats were processed in a facility or facilities located in the USA or Canada authorized by the official competent veterinary authority in the country of origin to manufacture pet food containanimal products and by-products. <sup>2</sup>	and ning
2.	The certified pet foods and/or pet treats in the shipment may include the following [check all that apply]:	
	<ul> <li>□ Pet foods and/or pet treats with no bovine-origin ingredients except exempt ingredients (e.g., milk or milk prodhide-derived gelatin or collagen, and tallow with a maximum level of insoluble impurities of 0.15% by weight).</li> <li>□ Pet foods and/or pet treats with non-exempt bovine-origin ingredients.</li> </ul>	ucts,
3.	In the case of pet foods or pet treats containing tallow, the tallow used is protein-free with a maximum level of insolimpurities of 0.15% by weight.	uble
4.	In the case of pet foods or pet treats containing bovine meat, offal, or any bovine ingredients other than excingredients, they meet the following requirements:	mpt
	a. Bovine-origin raw materials [meat/offals]:	
	i. were harvested in the United States from U.Sorigin bovine or legally imported bovine that:	
	<ul> <li>were presented live for slaughter AND</li> </ul>	
	<ul> <li>were not subjected to a stunning process prior to slaughter, with a device injecting compressed a gas into the cranial cavity, or to a pithing process, and were humanely rendered unconscious pri being bled</li> </ul>	ir or or to
AN	ND/OR [check all that apply]:	
	ii. Were legally imported from a country or countries recognized by the CFIA to be of:	
	☐ Negligible BSE Risk Status <sup>4</sup> OR	
	□ Controlled BSE Risk Status [Insert name of country or countries]:	
	and that:	
	<ul> <li>do not contain AND</li> <li>have not been contaminated with bovine specified risk materials (SRMs)<sup>5</sup>.</li> </ul>	
	1.6 Com countries of Neolicible DCE Diel Status	
	b. All bovine-origin rendered protein materials originate only from countries of Negrigiole BSE NISK Status.	
5.	The certified pet foods and/or pet treats were sufficiently heat processed to ensure the destruction of pathogens that represent a risk to public or animal health.	ıt
6.	Precautions were taken to prevent cross-contamination of the finished products with any animal origin product or product derived from animals of a lesser zoosanitary status.	by-

### **Example: Pet Food and Treats Certificate** (3 of 3)

Health Certificate Number: Page of
Signature of Official Veterinarian
Name of Signatory (in capital letters)
Title of Signatory (in capital letters)

#### Notes:

- 1: "Processed" includes only heat treated and shelf-stable products.
- 2: In the case of multiple pet food manufacturing facilities, please attach addendum with complete list of names and either addresses OR USDA-APHIS approval numbers (if applicable).
- 3: This certificate will be valid for 30 days after veterinary endorsement. Shipments of thermally processed pet food/chews/treats certified under this protocol must arrive at the Canadian port prior to the expiration date.
- 4: The CFIA follows the OIE categorization of countries for BSE Risk Status
- 5: The term SRM refers to Specified Risk Materials of bovine animals originating from countries other than Negligible BSE Risk Status. SRMs include: the skull, brain, trigeminal ganglia, eyes, spinal cord and vertebral column (excluding the vertebrae of the tail, the transverse processes of the thoracic and lumbar vertebrae and the wings of the sacrum), the palatine tonsils from bovine animals aged 30 months and older and the distal ileum from bovine animals of all ages.
- 6: Bovine-origin animal proteins /processed proteins include those bovine-origin products produced in rendering facilities, including animal origin meals such as rendered meals, protein digests, hydrolysates, etc.

### **Example: SC-205 – USDA AMS Specialty Crops Program Export Form Certificate**



CMB No. 0681-014 Exp. 09/30/2011

# SPECIALTY CROPS PROGRAM EXPORT FORM CERTIFICATE

### X-EXAMPLE

Service (CSP). 5 accompany ships SHIPMENTS to 0	Electronically scanned in nent and is not loaded to CANADA: The original	version must be submit to CBP's ACE system, of this certificate must	tied with entry documer the shipment may be d accompany regulated of	its to CBP's Automated Commercial enled exit from U.S. Commerce or pil	ida Customs for entry. If the original does not aco	not
	person who knowingly nment for not more tha		e, alter, forge, or count	perfet this certificate, or participate in	any such actions, is subject to a fine of not more	than
DATE CERTIFICATE ISSUED	мм	0 0	YY	COUNTRY OF DESTINATION:		
APPLICANT/ SHIPPER:				CITY/STATE:		
RECEIVER:				CITY/PROVINCE/ COUNTRY:	_	
CARRIER PLI No.:	io:			CERTIFICATE/ WORKSHEET NUMBER:		0
PROD		IMBER AND TYPE OF CONTAINERS	(8	DESCRIPTION OF PRODUCT RAND, VARIETY, SIZE, ORIGIN, ET	rc.) GRADE	CWT
			Ĉ "			
			C.			
			L.			
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						1
EXPORT /	N IMPORT REQUIREN	PORT IS OF AND PL	5 (1) OF AGRICULTUI (EASEMENT) ISSUED			or Japan
REMARKS:	79.					
FEE:	5	I, the undersion	ned, a duly authorized i	nspector of the United States Depart	ment of Agriculture, do hereby certify that samples	s of the
OVERTIME:	\$	herein describe	ed product were inspect	ted and the grades as shown by said	samples were as herein stated.	55000
EXPENSES:	5	INSPECTORS	SIGNATURE		ISSUING OFFICE	
ESTI	MATED TOTAL					

SC-205 (04/17)

### Example: PPQ Form 572 - Application for Inspection and Certification of Plants and PlantProducts

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid CMB control number. The valid CMB control number for this information collection is 0679-0052. The time required to complete this information collection is estimated to average 25 minutes/hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. No Phytosanitary Export Certificate can be issued until an application is completed (7 CFR 353). FORM APPROVED OMB NO. 0579-0052 INSTRUCTIONS: APPLICANT - Forward original to the Officer in Charge where inspections, treatment, and certification will be given (florm 4). Complete items 1 thru 11. OFFICER - Complete items 12 thru 17. U.S. DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE PLANT PROTECTION AND QUARANTINE APPLICATION FOR INSPECTION AND CERTIFICATION OF DOMESTIC PLANTS AND PLANT PRODUCTS FOR EXPORT 1. NAME AND ADDRESS OF EXPORTER 3. NAME AND ADDRESS OF APPLICANT (or exporters agent) AREA CODE AND PHONE NO. 2. NAME AND ADDRESS OF FOREIGN CONSIGNEE PLACE WHERE ARTICLES WILL BE MADE AVAILABLE FOR INSPECTION AND/OR TREATMENT AND CERTIFICATION/Port and focation) 5. APPROX. DATE OF DEPARTURE 6. PORT OF EXPORT 7. DESCRIPTION OF ARTICLES TO BE CERTIFIED QUANTITY AND NAME OF PRODUCE AND BOTANICAL NAME NUMBER AND DESCRIPTION OF PACKAGES C. DISTINGUISHING MARKS d. CERTIFIED ORIGIN 8. DECLARED MEANS OF CONVEYANCE I certify that the origin (place where grown) of the articles listed is as represented. 9. DECLARED POINT OF ENTRY 10. SIGNATURE (applicant or exporters agents) EXPORT INSPECTION DATA - (To be filled in by Plant Protection and Quarantine Officer) 12 LOCATION OF ARTICLES 13. W OF MATERIALS EXAMINED 14. % OF MATERIALS INFESTED 15. FINDINGS AND/OR TREATMENT GIVEN (Use reverse # recossary) 17. DATE AND TIME INSPECTED IE SIGNATURE PPQ FORM 572 (APR 2005)

### Example: LPS-234 USDA Processed Eggs and Egg Products Export Certificate

	AGRICULT	ARTMENT OF AGRICULTURE TURAL MARKETING SERVICE POULTRY, AND SEED PROGRAM	OMB APPROVED: NO. 6681-N		
	USDA PROCESSED EGG AND		RTIFICATE		
NAME AND ADDRESS OF CONSIGNOR		CERTIFICATE NUMBER:			
SAMPLE		SAMPLE  COMPETENT AUTHORITY:			
				SAMPLE	
				SAMPLE	
STATE OF ORIGIN:		SAMPLE			
COUNTRY OF DESTINATION:					
MICONTESTICATIONS		SAMPLE			
PLACE OF LOADING:		0.2003292			
		SAMPLE DECLARED POINT OF ENTRY:			
MEANS OF TRANSPORT:	MPLE	DECLARED FORM OF EATER.			
CONDITIONS OF TRANSPORTISTORAGE:			SAMPLE		
SAMPLE					
PRODUCER/MANUFACTURER:		DATE OF PACKING:	TYPE OF PACKING:		
SA	MPLE	SAMPLE	SAMPLE		
NAME OF PRODUCTS:  SAMPLE		NUMBER OF PACKAGES:	NET WEIGHT:		
		SAMPLE	SAMPLE		
ATTESTATIONS:					
tilestations.					
		SAMPLE			
		W 1100 WW			
	CI	ERTIFICATION			
DATE:	PRINTED NAME:	SAMPLE			
	SIGNATURE:	ACTIVE C.C.			
SAMPLE	7 TO THE RESERVE OF T				

The U.S. Department of Agriculture (USDA) prohibits discrimination in all its programs and activities on the basis of race, color, national origin, age, disability, and where applicable, sex, martial status, femilial status, religion, sexual orientation, genetic information, political ballets, reprisal, or because all or part of an individual's income is derived from any public assistance program (Not all prohibited bases apply to part of an individual's income is derived from any public assistance program (Not all prohibited bases apply to part of an individual's income is derived from any public assistance program (Not all prohibited bases apply to part of an individual's income is derived from any public assistance program (Not all prohibited bases apply to part of an individual's income is derived from any public assistance program (Not all prohibited bases apply to part of an individual's income is derived from any public assistance program (Not all prohibited bases apply to part of an individual's income is derived from any public assistance program (Not all prohibited bases apply to part of an individual's income is derived from any public assistance program (Not all prohibited bases apply to part of an individual's income is derived from any public assistance program (Not all prohibited bases apply to part of an individual's income is derived from any public assistance program (Not all prohibited bases apply to all prohibited bases apply to an individual's income is derived from any public assistance program (Not all prohibited bases apply to an individual's income is derived from any public assistance program (Not all prohibited bases apply to an individual's income is derived from any public assistance program (Not all prohibited bases apply to an individual's income is derived from any public assistance program (Not all prohibited bases apply to an individual's income is derived from any public assistance program (Not all prohibited bases apply to an individual's income is derived from any public ass

### **Glossary of Acronyms**

AMS – Agricultural Marketing Service of

USDAAIRS - Automated Import

Reference System

APHIS – Animal and Plant Health Inspection Service of

**USDA** 

BN – Business Number (Canadia)

CBP – Bureau of Customs and Border Protection

CBSA – Canada Border Service

Agency CFIA - Canadian Food

**Inspection Agency** 

CFSAN – Center for Food Safety and Applied Nutrition

CFSAN eCATS – CFSAN Export Certification Application and Tracking System

COPR – Canada Organic Products

RegulationsEV – Export Verification

FDA – Food and Drug Administration

FSIS - Food Safety and Inspection Service of

**USDA** 

FWS – Fish and Wildlife Service Export

**Program** 

HACCP - Hazard Analysis and Critical

Control

NOAA – National Oceanic and Atmospheric

AdministrationNOP – National Organic Program

NRI – Non-Resident Importer

PEEPEV - Processed Egg and Egg Products Export Verification

PPQ – Plant Protection and

QuarantineRTE - Ready-To-Eat

SFCA – Safe Food for Canadians Act

SFCR – Safe Food for Canadians

RegulationsSRTG – State Regional

**Trade Groups** 

USCOEA – United States-Canada Organic Equivalence Arrangement

USMCA – United States-Mexico-Canada Free Trade Agreement

#### **Attachments:**

No Attachments